

# Transfer Out - Direct Registration System (DRS) and Certificate Request



## Return Options:

Regular Mail:  
PO Box 2226, Omaha, NE 68103-2226

Overnight Mail:  
200 South 108th Avenue  
Omaha, NE 68154-2631

Fax: 800-914-8979

Please return this form to TD Ameritrade Clearing, Inc., by mail, fax, or as an attachment to a secure email sent from your TD Ameritrade account.

### ○ Certificate Request

A \$500 fee is assessed for each certificate delivered. An additional \$500 fee per certificate may be charged for securities eligible but not participating in the Direct Registration System (DRS). Please allow approximately four to six weeks for the Transfer Agent to generate and deliver the certificate. Please note, some stock issuers no longer provide certificates.

### ○ DRS Transfer Out Request

Securities that are DRS eligible will be delivered to the transfer agent. There is no fee for a DRS transfer out.

## 1

### Account Information

TD Ameritrade Account Number:

Number of Shares:

Security Name/Symbol:

Transfer agent account number, if applicable:

## 2

### Recipient Information

Primary Owner/Trustee:

Date of Birth:

U.S. Social Security Number:

OR

Tax ID Number:

Co-owner/Co-trustee:

Date of Birth:

U.S. Social Security Number:

OR

Tax ID Number:



