CommSec

NON – ACAT TRANSFER OUT OF COMMSEC – TRANSFER TO BOOK

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A Participant of the ASX Group

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IMPORTANT INFORMATION — READ BEFORE PROCEEDING

This form is for clients who are electing to go to a share registry/transfer agent that is using a non-ACAT process, and will only be actioned for eligible stocks. For information on eligibility please contact receiving party.

Please complete this form and return to CommSecInternationalDesk@cba.com.au

REASON FOR TRANSFER

TRANSFER TO BOOK

Pershing Account Name:

Pershing Account Number:

SECURITY DETAILS

Note: Only for eligible stocks

Company Name	Quantity	CUSIP / ISIN / SYMBOL / SEDOL / CINS

Name on Account:

Contact Number:

Registered Address:

	STATE	POSTCODE

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ACKNOWLEDGEMENT

I/We understand and acknowledge that all the information as shown on this form is complete and correct and agree that CommSec and Pershing will rely on this information when actioning your request. You agree to indemnify CommSec and Pershing against all costs; expenses and losses incurred as a result of CommSec and Pershing actioning your request.

Delivering account holder acknowledgement:

Account Holder / Director /Trustee 1

Name | Date | Client 1 Signature

DD / MM / YYYY

✗

Account Holder / Director /Trustee 2

Name | Date | Client 2 Signature

DD / MM / YYYY

✗

Account Holder / Director /Trustee 3

Name | Date | Client 3 Signature

DD / MM / YYYY

✗

Account Holder / Director /Trustee 4

Name | Date | Client 4 Signature

DD / MM / YYYY

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